

## Estimating net community production in the Southern Ocean based on atmospheric potential oxygen and satellite ocean color data

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[1] The seasonal cycle of atmospheric potential oxygen (APO  $\sim$  O<sub>2</sub> + 1.1 CO<sub>2</sub>) reflects three seasonally varying ocean processes: 1) thermal in- and outgassing, 2) mixed layer net community production (NCP) and 3) deep water ventilation. Previous studies have isolated the net biological seasonal signal (i.e., the sum of NCP and ventilation), after using air-sea heat flux data to estimate the thermal signal. In this study, we resolve all three components of the APO seasonal cycle using a methodology in which the ventilation signal is estimated based on atmospheric N<sub>2</sub>O data, the thermal signal is estimated based on heat flux or atmospheric Ar/N<sub>2</sub> data, and the production signal is inferred as a residual. The isolation of the NCP signal in APO allows for direct comparison to estimates of NCP based on satellite ocean color data, after translating the latter into an atmospheric signal using an atmospheric transport model. When applied to ocean color data using algorithms specially adapted to the Southern Ocean and APO data at three southern monitoring sites, these two independent methods converge on a similar phase and amplitude of the seasonal NCP signal in APO and yield an estimate of annual mean NCP south of 50°S of 0.8–1.2 Pg C/yr, with corresponding annual mean NPP of  $\sim$ 3 Pg C/yr and a mean growing season  $f$  ratio of  $\sim$ 0.33. These results are supported by ocean biogeochemistry model simulations, in which air-sea O<sub>2</sub> and N<sub>2</sub>O fluxes are resolved into component thermal, ventilation and (for O<sub>2</sub>) NCP contributions.

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### 1. Introduction

[2] Atmospheric potential oxygen (APO) is a unique atmospheric tracer of ocean biogeochemistry that is calculated by combining high precision O<sub>2</sub> and CO<sub>2</sub> data [Stephens *et al.*, 1998]. APO is effectively the concentration of the molar sum of O<sub>2</sub> + 1.1 CO<sub>2</sub> in air. By design, APO is insensitive to exchanges with the land biosphere, which has a nearly fixed stoichiometry of 1.1 mol O<sub>2</sub> produced (consumed) per mole CO<sub>2</sub> consumed (produced) that produces compensating changes in O<sub>2</sub> and CO<sub>2</sub> resulting from terrestrial photosynthesis and respiration. APO is highly sensitive, however, to exchanges across the air-sea interface, because of the contrasting chemistries of CO<sub>2</sub> and O<sub>2</sub> in

surface waters. Carbonate chemistry in seawater strongly damps variability in dissolved CO<sub>2</sub> but has no influence on O<sub>2</sub>. As a result, the largest contribution to seasonal cycles in APO arises from air-sea exchange of O<sub>2</sub> due to seasonal variations in upper-ocean biological production and ventilation [Manning and Keeling, 2006] with little or no compensation from air-sea exchanges of CO<sub>2</sub>. A smaller but also substantial contribution to seasonal variations in APO is driven by warming and cooling of surface waters and the associated changes in gas solubility. APO time series are recorded at a growing number of monitoring sites around the world, beginning as far back as the early 1990s [Keeling and Shertz, 1992; Bender *et al.*, 2005; Battle *et al.*, 2006; Tohjima *et al.*, 2008].

[3] Satellite ocean color data have been available since the late 1970s and continuously from 1997 and have revolutionized the monitoring of ocean productivity from seasonal to inter-annual time scales. The most basic surface property or “field” derived from ocean color data is the surface chlorophyll-a concentration, estimated as a function of remotely sensed water-leaving radiances. Starting from estimates of ocean color, higher order fields like vertically integrated net primary production (NPP) can also be derived. The derivation of NPP requires further information about

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the vertical distribution of Chl-a over the depth of the euphotic zone, which is not observable from space and typically must be modeled [Longhurst *et al.*, 1995; Antoine *et al.*, 1996; Behrenfeld and Falkowski, 1997]. From NPP, even higher order products, such as export production (EP) can be derived. Estimating EP requires additional parameterizations and input variables, such as sea surface temperature [Eppley and Peterson, 1979; Laws *et al.*, 2000; Laws, 2004; Dunne *et al.*, 2005]. With each step away from water-leaving radiances, in the progression from Chl-a to NPP to EP, increasing uncertainty is introduced. Current satellite-based estimates of NPP differ by up to a factor of two and estimates of EP have even larger uncertainties [Carr *et al.*, 2006; Friedrichs *et al.*, 2009].

[4] Satellite ocean color data and ground-based observations of APO, as discussed above, provide independent information about the oceanic biogeochemical cycles of carbon and oxygen. Seasonal cycles in APO represent the integrated impact of air-sea oxygen fluxes across broad regions and provide a constraint on a combination of surface production and subsurface mixing processes. Ocean color data constrain near-surface biomass and productivity at high spatial resolution with near-simultaneous spatial coverage, but provide little information on subsurface processes. Ocean color data have a relatively large uncertainty, especially higher order products like NPP and EP, while the mean seasonal cycle in APO is measured at high precision [Carr *et al.*, 2006; Manning and Keeling, 2006; Friedrichs *et al.*, 2009; Saba *et al.*, 2010]. Due to their complementary strengths, the combination of APO and satellite ocean color data potentially can provide a more comprehensive constraint on ocean biogeochemistry than either alone.

[5] The uncertainties in satellite ocean color data are especially large in the Southern Ocean [Carr *et al.*, 2006], a region that is critical to the regulation of atmospheric CO<sub>2</sub> [Caldeira and Duffy, 2000; Toggweiler *et al.*, 2003]. Conventional ocean color retrievals applicable to the rest of the World Ocean may not be appropriate in this region [Mitchell and Kahru, 2009] and likely underestimate phytoplankton stock, NPP and EP by at least a factor of two [Schlitzer, 2002].

[6] The Southern Ocean historically has been responsible for a substantial fraction of anthropogenic CO<sub>2</sub> uptake [Khatiwala *et al.*, 2009]. Over the past few decades, however, the strengthening and poleward shift in westerly winds may be causing increased upwelling and ventilation of deep waters around Antarctica that are naturally enriched in CO<sub>2</sub>. Studies based largely on coarse resolution ocean models suggest these changes may be reducing the net uptake of CO<sub>2</sub> in this important region [Wetzel *et al.*, 2005; Lovenduski *et al.*, 2007; Le Quéré *et al.*, 2008], although these results may need to be refined by taking into account the influence of subgrid-scale eddies [Hallberg and Gnanadesikan, 2006].

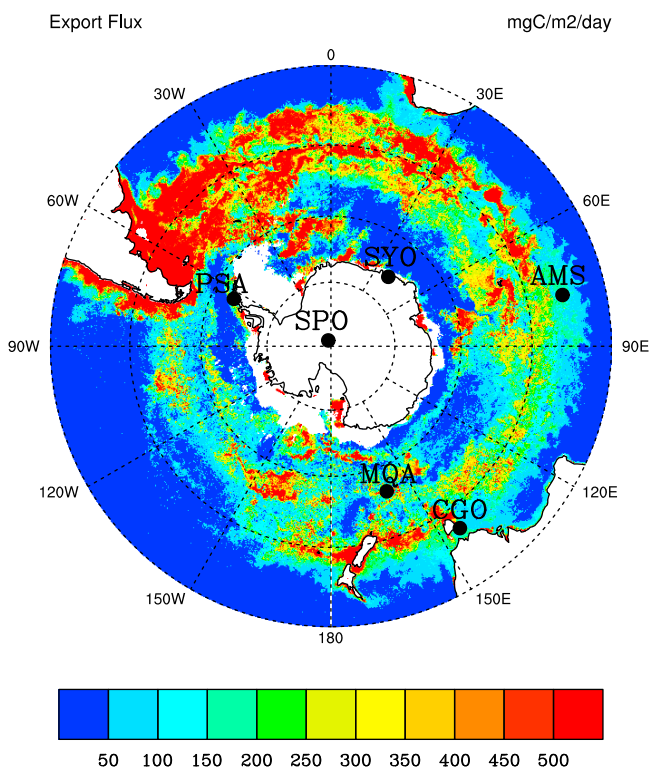
[7] A quantitative estimate of EP and its counterpart, net community production (NCP), is important for understanding the global carbon and oxygen cycles and the role of the oceanic “biological pump” in sequestering anthropogenic CO<sub>2</sub>. We define NCP here as the net amount of organic carbon fixed over the depth of the mixed layer after accounting for grazing and both autotrophic and heterotrophic

respiration. We prefer NCP over the related term “new production,” which is commonly defined based on nitrogen fluxes, because NCP can be quantified more precisely in terms of carbon. NCP is closely linked to the air-sea flux of O<sub>2</sub> (F<sub>O<sub>2</sub></sub>), since each mole of photosynthetically fixed carbon that persists beyond 2–3 weeks (the time scale of air-sea exchange) leaves a stoichiometric amount of O<sub>2</sub> available for release to the atmosphere [Keeling *et al.*, 1993]. In contrast, the majority of NPP is quickly remineralized in the mixed layer, with no impact on APO. In the extratropical ocean, the release to the atmosphere of O<sub>2</sub> associated with NCP occurs mainly in spring and summer, when NPP is at its peak.

[8] EP is the flux of organic carbon that sinks out of the mixed layer into the deep ocean. This sinking carbon is generally in particulate form associated with large (micro) phytoplankton or zooplankton [Uitz *et al.*, 2010]. In a steady state system, EP more or less balances NCP. We therefore refer here to the ratio of EP/NPP or NCP/NPP interchangeably as the *f* ratio. EP creates a vertical gradient in dissolved organic carbon that allows the ocean to absorb substantially more atmospheric CO<sub>2</sub> than it would in a perfectly mixed ocean [Gruber and Sarmiento, 2002]. The exported carbon is sequestered from the atmosphere and subsequently respired in the subsurface ocean, leading to O<sub>2</sub> depletion at depth. This O<sub>2</sub> is replenished by absorption from the atmosphere when the deep waters mix back to the surface in fall and winter, either through the breakdown of the seasonal thermocline or the high latitude outcropping of isopycnals in the main thermocline. Thus, deep ventilation and NCP are distinct processes that are largely separate in time and space but together form the dominant contributions to the seasonal cycles in APO [Keeling *et al.*, 1993, 1998; Balkanski *et al.*, 1999]. Both processes are closely linked to the “biological pump” critical for ocean uptake of atmospheric CO<sub>2</sub>.

[9] While a more direct link exists between NCP and F<sub>O<sub>2</sub></sub> than between EP and F<sub>O<sub>2</sub></sub>, ocean observing programs have primarily focused on measuring EP rather than NCP. A number of models exist for estimating EP as a function of NPP, which have been calibrated against in situ measurements and adapted to satellite ocean color algorithms [Eppley and Peterson, 1979; Laws *et al.*, 2000; Laws, 2004; Dunne *et al.*, 2005]. Satellite-based estimates of EP are highly uncertain, because, among other reasons, ocean color is a near-surface measurement based on surface irradiance that can at best be only loosely tied to the sinking carbon flux at a standard reference depth such as 100 m. In general, the satellite can only see within the optical depth of the mixed layer and cannot resolve processes, such as additional production or consumption, that occur between the base of the mixed layer and 100 m.

[10] Here, we assume that NCP is approximately equal to EP and that F<sub>O<sub>2</sub></sub> is approximately equal to NCP. This series of simplifying assumptions allows us to directly link F<sub>O<sub>2</sub></sub> and EP through a stoichiometric O<sub>2</sub>:C ratio. While the assumptions have many possible shortcomings, a full investigation of the relationship between F<sub>O<sub>2</sub></sub> and EP is beyond the scope of this paper. Our simplifying assumptions allow us to skirt the problem of possible phase offsets between F<sub>O<sub>2</sub></sub> and EP [Balkanski *et al.*, 1999], since the timing of F<sub>O<sub>2</sub></sub> is more directly linked to NCP than it is to EP, although small phase delays due to air-sea exchange still might occur.



**Figure 1.** Export production (EP12) in  $\text{mg C m}^{-2} \text{ day}^{-1}$  in January from satellite data described in Section 2.3. Locations of six APO monitoring stations are superimposed.

[11] In this paper, we estimate the climatological seasonal cycle in NCP in the Southern Ocean in terms of both integrated oceanic fluxes and their impact on APO. We compare three different methods. First, we estimate NCP based on satellite ocean color data, assuming an equivalence between EP and NCP and the associated air-sea  $\text{O}_2$  flux. Second, we use a 3-dimensional ocean biogeochemistry model to estimate the air-sea  $\text{O}_2$  flux associated with NCP. Third, we use the mean seasonal cycle in APO data, correcting for the ventilation component using atmospheric  $\text{N}_2\text{O}$  data and correcting for the thermal component using either atmospheric  $\text{Ar}/\text{N}_2$  or ocean heat flux data [Keeling *et al.*, 2004; Cassar *et al.*, 2008]. This leaves the NCP contribution to the APO cycle as a residual [Nevison *et al.*, 2005]. To allow the three methods to be compared on a common basis, the air sea  $\text{O}_2$  fluxes from the first two methods are carried in an atmospheric transport model to estimate the NCP contributions to the APO cycle at atmospheric baseline stations in the Southern Ocean. A primary goal of the study is to explore the use of observed seasonal cycles in APO to quantitatively constrain oceanic carbon and oxygen fluxes derived from satellite ocean color data.

## 2. Methods

### 2.1. APO Data

[12] Atmospheric  $\text{O}_2$  data, reported in terms of deviations in the  $\text{O}_2/\text{N}_2$  ratio, were obtained from the Scripps Institution of Oceanography (SIO) and Princeton University (PU) networks (see Text S1 in the auxiliary material for details of the

measurement techniques).<sup>1</sup> Data are available from the early to mid 1990s, depending on the station [Keeling *et al.*, 1996; Bender *et al.*, 2005; Manning and Keeling, 2006]. There are 6 stations located south of  $30^\circ\text{S}$ , which we use to define the “Southern Ocean” region (AMS, CGO, MQA, PSA, SPO, SYO). Details are listed in Table S1 and station locations are shown in Figure 1. The SIO and PU networks have 3 to 4 stations each in the Southern Ocean, including a common station at CGO. In this paper, we define the APO tracer according to,

$$\text{APO} = \delta(\text{O}_2/\text{N}_2) + \frac{1.1}{X_{\text{O}_2}} \text{CO}_2, \quad (1)$$

where  $\delta(\text{O}_2/\text{N}_2)$  is the relative deviation in the  $\text{O}_2/\text{N}_2$  ratio from a reference ratio in per meg units,  $X_{\text{O}_2} = 0.2095$  is the  $\text{O}_2$  mole fraction of dry air [Stephens *et al.*, 1998],  $\text{CO}_2$  is the mole fraction of carbon dioxide in parts-per million ( $\mu\text{mol mol}^{-1}$ ), and 1.1 is the average  $-\text{O}_2:\text{C}$  ratio of terrestrial respiration and photosynthesis. Mean seasonal cycles for APO (Figure 2), and for all other atmospheric species both observed and modeled as discussed below, are estimated as the harmonic component of a 3rd order polynomial plus first 4 harmonics fit to each monthly mean time series [Thoning *et al.*, 1989]. At Southern Ocean stations, the seasonal cycles in  $\delta(\text{O}_2/\text{N}_2)$  dominate the cycles in APO because the seasonal cycles in  $\text{CO}_2$  are small.

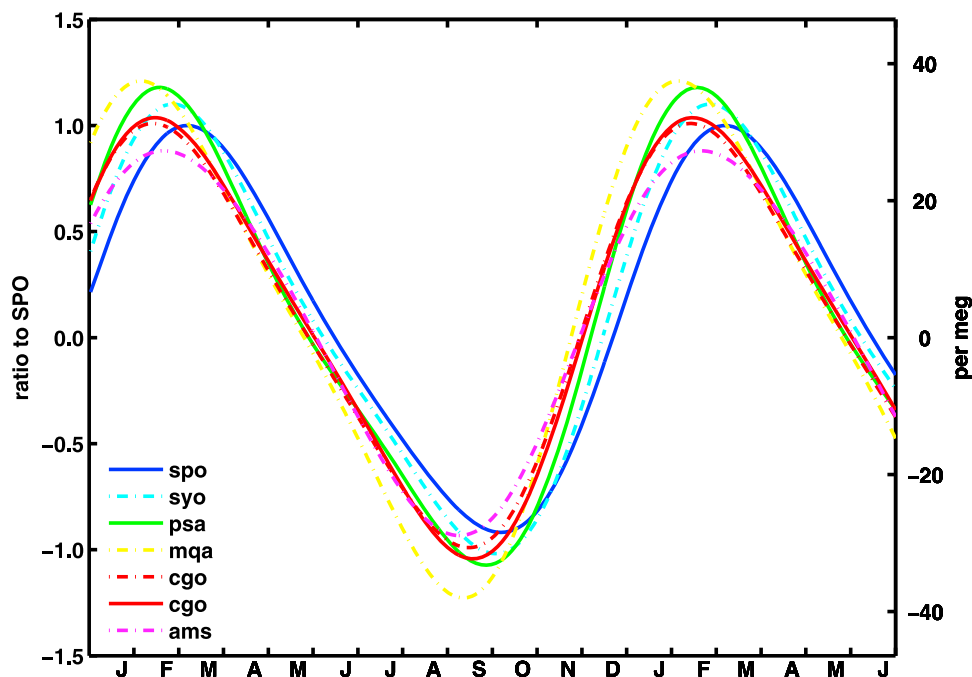
### 2.2. $\text{N}_2\text{O}$ and Complementary CFC-12 Data

[13] The details of the  $\text{N}_2\text{O}$  and CFC-12 data used in this study are given in Text S1. An important assumption is that the observed mean seasonal cycle of atmospheric  $\text{N}_2\text{O}$  contains information about the biological ocean source of  $\text{N}_2\text{O}$ , which in turn can be related to the seasonal ventilation signal in APO. Since the amplitude of the observed  $\text{N}_2\text{O}$  cycle is small, i.e., only about 0.2% of the mean tropospheric  $\text{N}_2\text{O}$  mixing ratio, it is debatable whether a mean seasonal cycle in the available  $\text{N}_2\text{O}$  data can be resolved and whether enough information exists about other components of the  $\text{N}_2\text{O}$  seasonal cycle to estimate and subtract them to isolate the oceanic component. These questions have been investigated by Nevison *et al.* [2005, 2011], who conclude that ventilation of microbially enriched deep water in the Southern Ocean contributes to the spring maximum in the  $\text{N}_2\text{O}$  seasonal cycle, but that there is a complicating stratospheric influence on the  $\text{N}_2\text{O}$  seasonal cycle at southern hemisphere stations that is similar in phase to the oceanic source signal and a complicating thermal signal that opposes the oceanic ventilation signal. The thermal signal can be estimated as described in Section 2.5 and Text S1, while the stratospheric signal can be estimated on the basis of the mean seasonal cycle of CFC-12.

### 2.3. Satellite Ocean Color Data

[14] Net primary production (NPP), export production (EP) and corresponding air-sea  $\text{O}_2$  fluxes were estimated based on Chl-a fields derived from satellite ocean color data. The estimates were made globally, but with an emphasis on

<sup>1</sup>Auxiliary materials are available in the HTML. doi:10.1029/2011GB004040.



**Figure 2.** Observed APO mean annual cycles at six Southern Ocean stations. Solid lines are SIO stations. Dashed lines are PU stations. Left axis shows the ratio of the maximum amplitude normalized to the South Pole (SPO) station. Right axis shows absolute value in per meg.

the Southern Ocean, which effectively dominates the APO seasonal cycle at the Southern Hemisphere APO monitoring stations [Garcia and Keeling, 2001]. Current standard satellite algorithms under-estimate Chl-a by 2–3 times over the middle range of Chl-a in the Southern Ocean, due to the special bio-optical properties of the region [Mitchell and Holm-Hansen, 1991; Mitchell, 1992]. This bias is transferred to higher level products like NPP and EP that use Chl-a as input. We used a special empirical algorithm, SPGANT, to estimate Chl-a in the Southern Ocean, which was blended with the standard algorithms for the rest of the world ocean [Mitchell and Kahru, 2009; Kahru and Mitchell, 2010]. The blended Chl-a was input to a modified version of the VGPM model to calculate NPP [Behrenfeld and Falkowski, 1997], with parameters tuned to the Southern Ocean. We calculated export production (EP) based on the Laws [2004] model as a function of NPP and SST. Text S1 describes the satellite data and algorithms in more detail.

[15] The integrated fluxes of NPP and EP for the global ocean calculated above were  $\text{NPP} = 55 \text{ Pg C/yr}$  and  $\text{EP} = 17 \text{ Pg C yr}^{-1}$  ( $1.4 \text{ Pg C yr}^{-1}$  south of  $50^\circ\text{S}$ ).  $50^\circ\text{S}$  is the average position of the wind stress maximum in the Southern Ocean and the waters south of this latitude are characterized by upwelling [Pollard et al., 2006]. The NPP estimate is near the middle of the range of alternate satellite-based estimates ( $35\text{--}70 \text{ Pg C yr}^{-1}$ ) [Carr et al., 2006], while the EP total is substantially higher than the commonly cited estimate of  $11 \text{ Pg C yr}^{-1}$  ( $1 \text{ Pg C yr}^{-1}$  south of  $50^\circ\text{S}$ ) based on the ocean inversion of Schlitzer [2002]. The high EP estimate was associated with  $f$  ratios that frequently exceeded 0.5 in the Southern Ocean (resulting from the propagation of the relatively large SPGANT Chl-a fields through the VGPM and Laws models). As a sensitivity study, we

calculated an alternate set of EP fluxes by scaling down our standard fluxes by a factor of 0.725 to agree with the Schlitzer [2002] value of  $1 \text{ Pg C yr}^{-1}$  for the Southern Ocean south of  $50^\circ\text{S}$ . This second set of fluxes yielded a global EP of  $12 \text{ Pg C yr}^{-1}$  with an average  $f$  ratio of 0.33 south of  $50^\circ\text{S}$ . Both sets of EP fluxes were converted to air-sea  $\text{O}_2$  fluxes  $F_{\text{O}_2}$ , assuming  $\text{EP} \sim \text{NCP} \sim F_{\text{O}_2}$ , using a stoichiometric  $-\text{O}_2:\text{C}$  ratio of 1.4 mol/mole [Laws, 1991; Anderson, 1995]. The uncertainty in the  $-\text{O}_2:\text{C}$  ratio is relatively small, with estimates ranging from 1.3 to 1.6 [Jin et al., 2007].

## 2.4. Ocean Biogeochemistry Model

[16] We used the MIT three-dimensional ocean general circulation model [Marshall et al., 1997a, 1997b] in a coarse resolution global configuration ( $2.8^\circ \times 2.8^\circ$ , 15 vertical levels) to simulate various components of the air-sea  $\text{O}_2$  flux. The physical model is forced by monthly mean climatological data [Dutkiewicz et al., 2005]. The biogeochemistry module is based on pelagic ecosystem dynamics and represents the coupled cycles of phosphorus, silicon, and iron. It explicitly simulates two “functional groups” of phytoplankton, nominally diatoms (microphytoplankton) and small (nanophytoplankton), and one generic zooplankton group. More complete details are given by Dutkiewicz et al. [2005] and Ito et al. [2005].

[17] We decomposed the modeled air-sea  $\text{O}_2$  flux into thermal, deep ventilation and net community production (NCP) terms. The decomposition was achieved by linear combinations of 3 different simulations, all run 2000 years to achieve a steady state mean annual cycle: 1) a normal run with full biology, “Total Oxygen” ( $\text{O}_{2(\text{tot})}$ ), 2) a run that unconditionally shuts off all biological activity “Thermal  $\text{O}_2$ ” ( $\text{O}_{2(\text{th})}$ ), such that the only processes besides transport and mixing affecting  $\text{O}_{2(\text{th})}$  are solubility changes due to

seasonally varying heat fluxes at the ocean surface, and 3) a run setting the local production and respiration terms to zero whenever NCP would otherwise be greater than zero, i.e., in the euphotic zone. In addition to transport and mixing, this third species “Aphotic Oxygen” ( $O_{2(\text{ap})}$ ) is affected only by thermal processes and dark respiration occurring in the subsurface ocean. The deep ventilation tracer  $O_{2(\text{vent})}$  was estimated as the difference  $O_{2(\text{ap})} - O_{2(\text{th})}$ . The NCP tracer  $O_{2(\text{NCP})}$  was estimated as the difference  $O_{2(\text{tot})} - O_{2(\text{ap})}$ . The global total NCP predicted with this formulation is 17 Pg C/yr (2 Pg C/yr south of 50°S) using the model’s 1.4 mol  $-O_2:C$  conversion.

[18] In addition to the  $O_2$  decomposition, two new prognostic chemical species were added to the model: 1)  $N_2$ , a tracer with no modeled biological sources or sinks but which responds to thermal solubility changes in the upper ocean, and 2)  $N_2O$ , a tracer also responding to thermal solubility changes (assuming a background atmospheric  $N_2O$  concentration of 320 ppb) but also with a microbial ocean source parameterized as a simple function of the subsurface  $O_2$  consumption flux with a constant coefficient of  $0.122 \times 10^{-3}$  mole  $N_2O$  produced per mole  $O_2$  consumed [Suntharalingam and Sarmiento, 2000]. (This parameterization overestimates the observed  $\Delta N_2O/AOU$  ratio in the Southern Ocean by about a factor of 2 – see Section 2.7). For simplicity and since our focus was the relatively  $O_2$ -rich Southern Ocean, we assumed no biological sinks for  $N_2O$ . In addition to total  $N_2O$ , a prognostic thermal-only species  $N_2O_{\text{therm}}$  was computed in the MIT model by shutting off the biological source term.  $N_2O_{\text{bio}}$  was inferred as the difference between  $N_2O_{\text{tot}}$  and  $N_2O_{\text{therm}}$ . Since  $N_2O$  production in the surface layer was not allowed, due to light inhibition of nitrifying bacteria [Horrigan et al., 1981],  $N_2O_{\text{bio}}$  was essentially a tracer of deep ventilation, i.e.,  $N_2O_{\text{vent}} \sim N_2O_{\text{bio}}$ .

## 2.5. Thermal Fluxes

[19] The seasonal cycle of atmospheric  $O_2/N_2$  due to thermal in/outgassing was estimated using two approaches. First, the thermal signal in atmospheric  $O_2/N_2$  was estimated based on measurements of changes in the atmospheric Ar/ $N_2$  ratio, which is reported similarly to  $O_2/N_2$  data in per meg units. The atmospheric Ar/ $N_2$  ratio varies seasonally because of thermal ingassing and outgassing of both Ar and  $N_2$ . Ar has a higher solubility and solubility-temperature dependence than  $N_2$ , leading to larger relative changes in atmospheric Ar than  $N_2$ . The biological influences on the Ar and  $N_2$  exchanges are both negligible. Ar/ $N_2$  is monitored at the same stations as  $O_2/N_2$  by long-term flask sampling programs maintained by PU and SIO [Battle et al., 2003; Keeling et al., 2004; Cassar et al., 2008]. The small changes in Ar/ $N_2$  relative to a large background are difficult to measure precisely, but the time series span nearly a decade or more at all sites, permitting the calculation of the mean seasonal cycle  $(Ar/N_2)_{\text{seas}}$  using the polynomial and harmonic fit described in Section 2.1. The seasonal cycles in  $O_2/N_2$ ,  $N_2O$  and CFC-12 due to thermal effects are estimated based on  $(Ar/N_2)_{\text{seas}}$  using scaling factors derived from the ratios of the temperature derivatives of the respective solubility functions (see Text S1). We refer to these as  $(O_2/N_2)_{\text{therm,Ar}}$ ,  $N_2O_{\text{therm,Ar}}$  and  $CFC-12_{\text{therm,Ar}}$ .

[20] Second, surface fluxes  $F$  of  $O_2$  and  $N_2$  were estimated as  $F = QS_T/C_p$  [Keeling et al., 1998], where  $Q$  is the NCEP heat flux [Kalnay et al., 1996],  $S_T$  is the temperature derivative of  $O_2$  or  $N_2$  solubility evaluated at the NCEP sea surface temperature and  $C_p$  is the heat capacity of seawater. (Thermal fluxes of  $N_2$  influence  $O_2/N_2$  via a dilution effect and therefore must be included in the heat flux-based calculations.) In addition, a modified flux was calculated as by Jin et al. [2007], who optimized the formula based on comparisons to prognostic thermal  $O_2$  fluxes from an ocean model. For the modified flux, the magnitude of  $F$  was scaled down by a factor of 1/1.3 and the flux was delayed for half a month. We assumed that these same modifications were valid for the  $N_2$  fluxes. Both sets of  $O_2$  and  $N_2$  fluxes were used to force the MATCH atmospheric transport model and converted into an atmospheric signal in per meg units (see Section 2.6).

## 2.6. Atmospheric Transport Model

[21] We used the Model of Atmospheric Transport and Chemistry (MATCH) [Rasch et al., 1997; Mahowald et al., 1997] to translate surface air-sea fluxes into corresponding atmospheric signals. MATCH was run at T62 horizontal resolution (about 1.9° latitude by longitude) with 28 vertical levels and a time step of 20 min using archived 6 hourly winds for the years 2000–2003 from the National Center for Environmental Prediction (NCEP) reanalyses [Kalnay et al., 1996]. The model was forced with a variety of surface fluxes, including the air-sea  $O_2$  fluxes associated with the satellite-based NCP described in Section 2.3, the MIT ocean model air-sea  $O_2$  and  $N_2$  fluxes described in Section 2.4, and the thermal  $O_2$  and  $N_2$  fluxes described in Section 2.5. The resulting MATCH tracers were used to compute the APO signals due to thermal process, net community production, and ventilation using equations (2), (3), and (4), respectively. Total APO for the MIT model was calculated with equation (5).

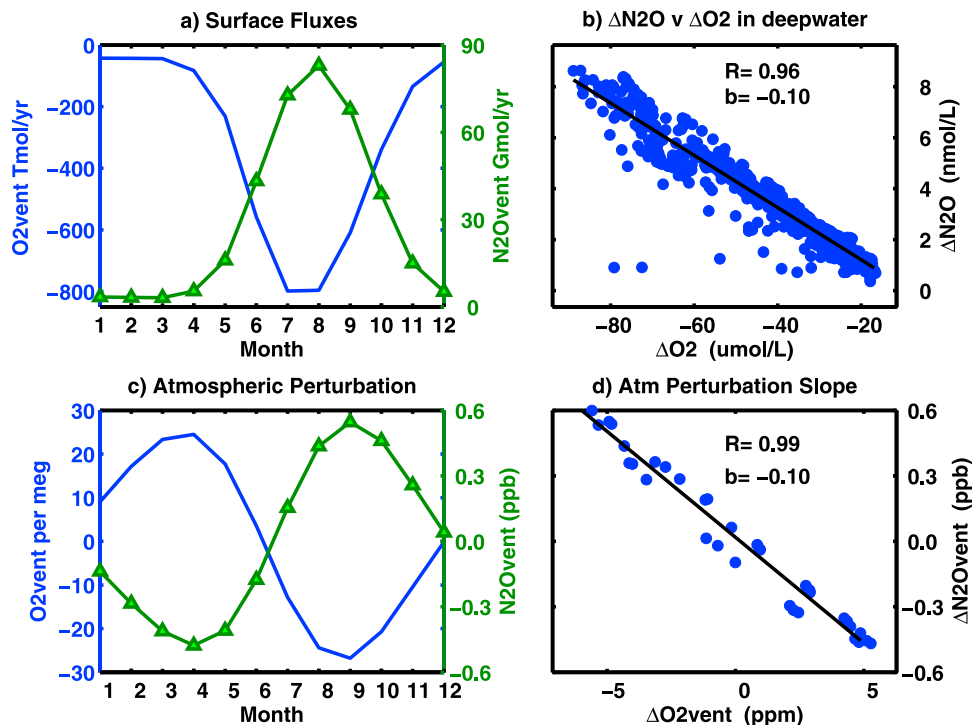
$$\text{ATM-THERM}_i = \frac{1}{X_{O_2}} O_{2,\text{therm}} - \frac{1}{X_{N_2}} N_2, i = Q \text{ or } Q_{\text{mod}} \quad (2)$$

$$\text{ATM-NCP}_j = \frac{1}{X_{O_2}} O_{2,\text{NCP}}, j = \text{EP12, EP17 or MIT} \quad (3)$$

$$\text{ATM-VENT}_{\text{MIT}} = \frac{1}{X_{O_2}} O_{2,\text{vent}}, \quad (4)$$

$$\text{ATM-APO}_{\text{MIT}} = \frac{1}{X_{O_2}} O_{2,\text{total}} - \frac{1}{X_{N_2}} N_2, \quad (5)$$

where  $X_{O_2}$  and  $X_{N_2}$  are the reference mole fractions of  $O_2$  and  $N_2$  in air (0.2095 and 0.7808, respectively), the subscripts Q and Qmod for ATM-THERM distinguish heat flux-based calculations with and without Jin et al.’s [2007] modifications (Section 2.5), the subscripts EP12 and EP17 for ATM-NCP refer to the global integrals of satellite-based EP in Pg C yr<sup>-1</sup> (Section 2.3) and all MIT subscripts refer to values from the ocean biogeochemistry model (Section 2.4). Strictly speaking, the products of equations (2)–(5) represent  $O_2/N_2$  rather than APO (see equation (1)), since there are small oceanic  $CO_2$  fluxes associated with thermal ingassing and outgassing, NCP and ventilation. However, we assume



**Figure 3.** Results of (top) MIT ocean model and (bottom) corresponding MATCH simulation, illustrating the basis for the  $N_2O$  method for estimating  $AP_{O_{vent}}$ . (a) Air-sea fluxes of  $O_{2vent}$  and  $N_{2Ovent}$  integrated from 45 to 60°S. (b)  $\Delta N_2O$  ( $= N_2O - N_2O_{sat}$ ) versus  $\Delta O_2$  ( $= O_2 - O_{2,sat}$ ) ratio at 85 m in July in MIT ocean model, showing all values from 45 to 60°S. (c) Mean atmospheric seasonal cycle of  $ATM-VENT_{MIT}$  and  $ATM-N_2OVENT_{MIT}$ . (d) Slope of detrended monthly mean results for  $ATM-VENT_{MIT}$  versus  $ATM-N_2OVENT_{MIT}$  over 3 model years.

that these oceanic  $CO_2$  terms make a relatively small contribution to the mean seasonal cycle in APO compared to the uncertainties in the  $O_2$  terms themselves. We make a similar assumption that  $(O_2/N_2)_{therm,Ar} \sim APO_{therm,Ar}$  for the argon-based thermal signal discussed in Section 2.5. These assumptions may not be valid in the tropics, where strong oceanic  $CO_2$  outgassing occurs and  $O_2$  fluxes are not strongly seasonal, but are reasonable for the Southern Ocean [Nevison *et al.*, 2008].

[22] Additional MATCH simulations were performed with the biological air-sea fluxes of  $N_2O$ , both from the MIT model as described above and from the 3-dimensional ocean biogeochemistry model of Jin and Gruber [2003]. These simulations yielded atmospheric  $N_2O$  tracers referred to here as  $ATM-N_2OVENT_{MIT}$  and  $ATM-N_2OVENT_{JG}$ . Similarly, thermal  $N_2O$  tracers  $ATM-N_2OTHERM_Q$  and  $ATM-N_2OTHERM_{Qmod}$  were estimated by forcing MATCH with thermal  $N_2O$  fluxes estimated based on the  $F = QS_T/C_p$  equation, with and without the Jin *et al.* [2007] modifications, where  $S_T$  is the temperature derivative of the  $N_2O$  solubility coefficient [Weiss and Price, 1980].

## 2.7. Decomposition of Observed APO Seasonal Cycle

[23] Equation (6a) and (6b) describe the component signals of the observed mean seasonal cycle in APO.

$$APO_{obs} = APO_{therm} + APO_{netbio} \quad (6a)$$

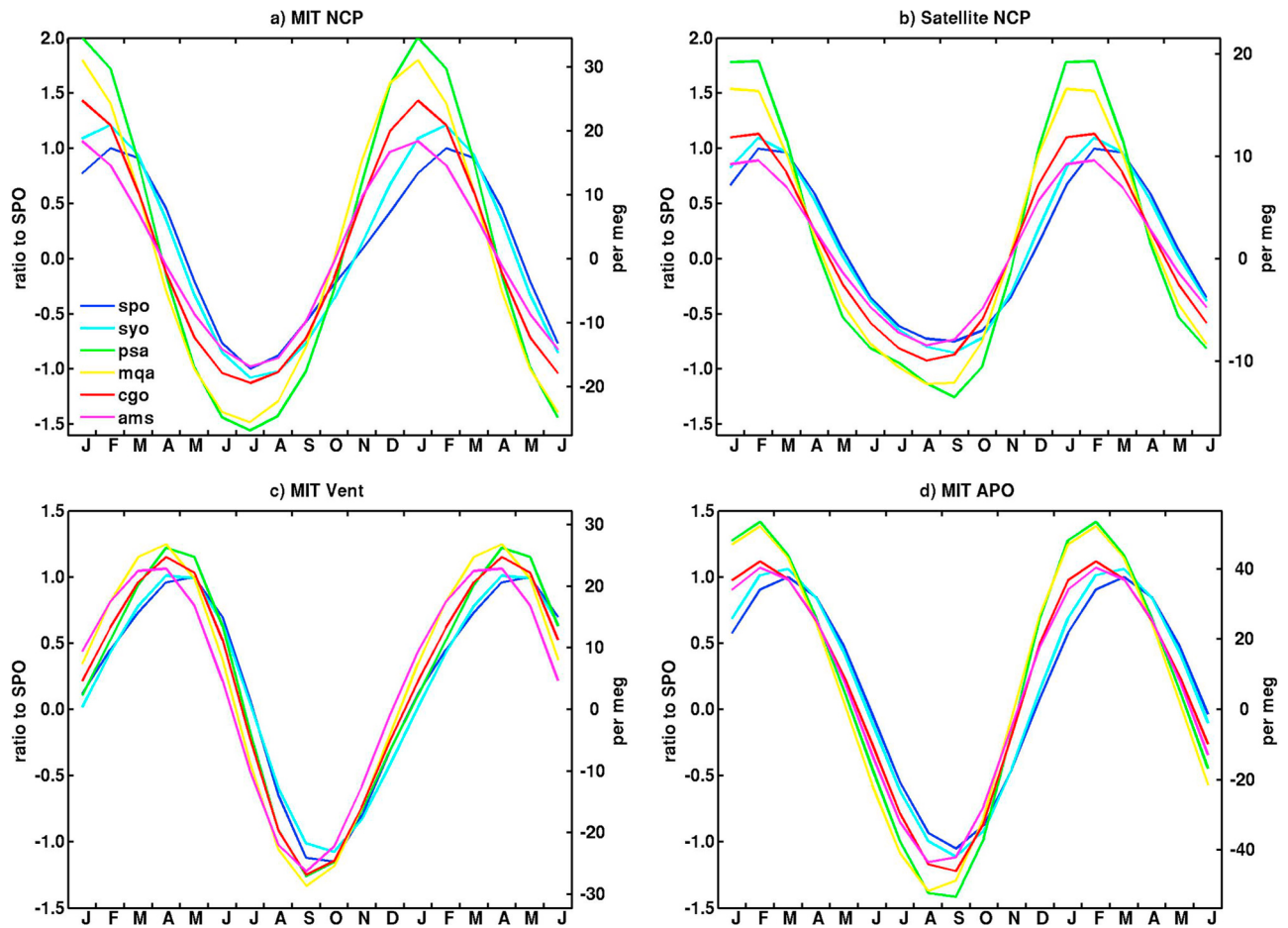
$$= APO_{therm} + APO_{vent} + APO_{NCP} \quad (6b)$$

A number of studies have estimated  $AP_{O_{therm}}$  using equation (2) and then rearranged equation (6a) to isolate the net biological seasonal cycle  $AP_{O_{netbio}}$  [Stephens *et al.*, 1998; Keeling *et al.*, 1998; Balkanski *et al.*, 1999; Najjar and Keeling, 2000; Garcia and Keeling, 2001]. While  $AP_{O_{netbio}}$  can be indirectly related to NCP via concepts like Seasonal Net Outgassing (SNO), it cannot be compared directly to satellite ocean color data, since the latter provide no information about deep ventilation. This problem has complicated past efforts to quantify NCP based on the seasonal amplitude of  $AP_{O_{netbio}}$  [Keeling and Shertz, 1992; Bender *et al.*, 1996; Najjar and Keeling, 2000]. Here, we partition  $AP_{O_{netbio}}$  into ventilation and production components (equation (6b)), which in principle can be compared directly to the  $ATM-VENT$  and  $ATM-NCP$  tracers described above and thus related quantitatively to the underlying air-sea fluxes.

[24] Our approach, first described in Nevison *et al.* [2005], uses the observed seasonal cycle in atmospheric  $N_2O$  and the close correlation between  $N_2O$  production and  $O_2$  consumption in the subsurface ocean [Yoshinari, 1976; Cohen and Gordon, 1978; Butler *et al.*, 1989] to estimate the ventilation signal in APO. This allows the production term  $AP_{O_{NCP}}$  to be inferred as a residual,

$$AP_{O_{NCP}} = APO_{obs} - APO_{therm} - APO_{vent}, \quad (7)$$

$$\text{where } APO_{vent} = -\frac{1}{\left(\frac{\Delta N_2O}{AOU}\right)} N_{2Ovent} \quad (8)$$



**Figure 4.** Comparison at six Southern Hemisphere monitoring sites of modeled mean seasonal cycles in total APO or its components, as derived from MATCH simulations forced by air-sea fluxes from the MIT ocean model or satellite ocean color data: (a)  $\text{ATM-NCP}_{\text{MIT}}$ , (b)  $\text{ATM-NCP}_{\text{EP12}}$  (i.e., satellite-derived NCP with global total of 12 PgC/yr), (c)  $\text{ATM-VENT}_{\text{MIT}}$ , (d)  $\text{ATM-APO}_{\text{MIT}}$ . See Sections 2.3, 2.4, and 2.6 for details.

Equation (8) assumes that  $\text{APO}_{\text{vent}}$  can be related to  $\text{N}_2\text{O}_{\text{vent}}$  by dividing by the subsurface  $\Delta\text{N}_2\text{O}/\text{AOU}$  ratio (an approximate measure of the rate of  $\text{N}_2\text{O}$  production to  $\text{O}_2$  consumption). This assumption was inspired by observations at a California coastal upwelling site [Lueker *et al.*, 2003] and is supported by our MATCH:MIT simulations (Figure 3). The  $\Delta\text{N}_2\text{O}/\text{AOU}$  ratio is relatively well known in the Southern Ocean based on observed  $\text{N}_2\text{O}$  and  $\text{O}_2$  depth profiles [Nevison *et al.*, 2003; 2005]. We assume a value of  $0.05 \times 10^{-3}$  mole/mole with a range of uncertainty of  $\pm 0.01 \times 10^{-3}$ .

[25]  $\text{N}_2\text{O}_{\text{vent}}$  was estimated based on the observed mean seasonal cycle of atmospheric  $\text{N}_2\text{O}$ , after estimating and subtracting off thermal ( $\text{N}_2\text{O}_{\text{therm}}$ ) and stratospheric/remote components ( $\text{N}_2\text{O}_{\text{strat}}$ ). We estimated  $\text{N}_2\text{O}_{\text{therm}}$  based either on heat flux or Ar/ $\text{N}_2$  data, as described in Section 2.5. We estimated  $\text{N}_2\text{O}_{\text{strat}}$  based on the observed mean seasonal cycle of CFC-12, a relatively insoluble gas with a similar lifetime and stratospheric sink as  $\text{N}_2\text{O}$ . Text S1 provides the details of the estimation of  $\text{N}_2\text{O}_{\text{vent}}$ .

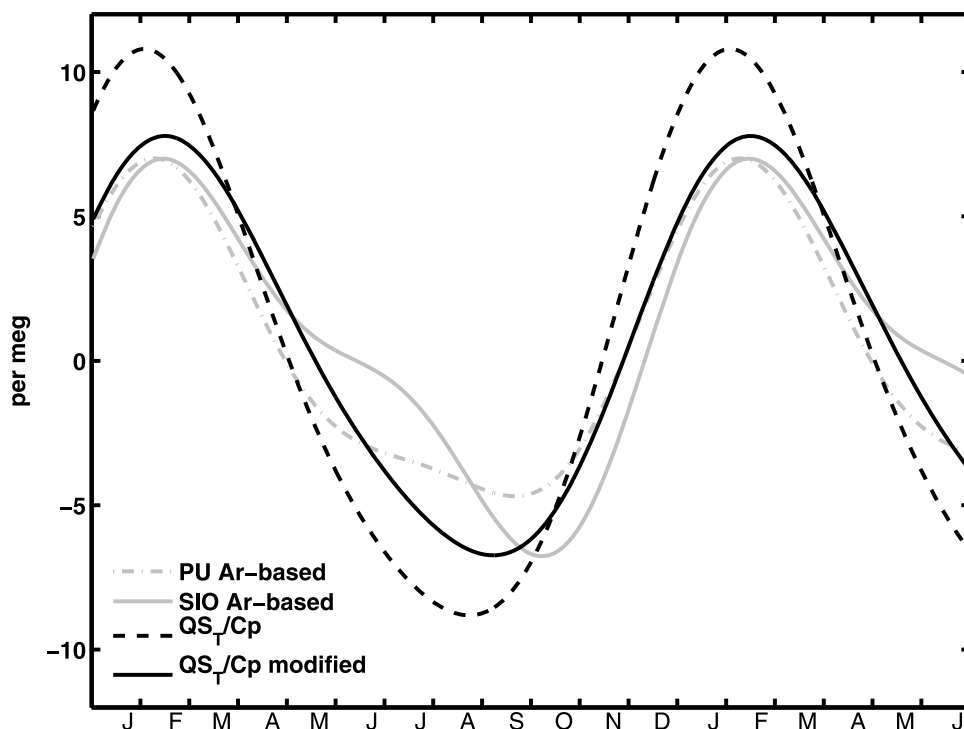
[26] Tables S2 and S3 in the auxiliary material list the various terms in the decomposed seasonal cycles of APO and  $\text{N}_2\text{O}$ , respectively. Our nomenclature makes a primary

distinction between terms that are derived directly from atmospheric data, versus those estimated using the MATCH atmospheric transport model (terms beginning with ATM). However, we note that some terms like  $\text{APO}_{\text{NCP}}$  and  $\text{N}_2\text{O}_{\text{vent}}$ , which are calculated as residuals, in some cases may be derived from a combination of direct atmospheric data and thermal tracers simulated by the MATCH model. In addition, we use the unqualified terms  $\text{APO}_{\text{therm}}$  and  $\text{N}_2\text{O}_{\text{therm}}$  generically to refer to either Ar/ $\text{N}_2$  or heat flux-based thermal signals.

### 3. Results

#### 3.1. Patterns Across Southern Ocean Monitoring Sites

[27] APO data are available from six stations south of 30°S in the Southern Ocean (Table S1 in the auxiliary material). Of these, three (CGO, MQA, PSA) are situated close to the most biologically productive regions (Figure 1), one (AMS) lies somewhat equatorward, and two (SYO and SPO) sit on the Antarctic continent, separated by land or ice from the most productive regions. The amplitudes at MQA and PSA are about  $\sim 20\%$  larger than those at the other stations and there is a trend toward later maxima as one



**Figure 5.** Thermal APO signal at CGO. Two of the signals are modeled based on ocean heat fluxes run in the MATCH atmospheric transport model. Two of the signals are based on Ar/N<sub>2</sub> data. See Section 2.5 for details.

moves poleward (Figure 2). The ATM-NCP tracers show similar trends in phasing relative to observations but a stronger differentiation in amplitude, with peak-to-trough values at MQA and PSA some 50–80% larger (for satellite-based ATM-NCP<sub>EP12</sub>) and 80–100% larger (for ATM-NCP<sub>MIT</sub>) than those of the other stations (Figures 4a and 4b). The ATM-VENT<sub>MIT</sub> and, consequently, the total ATM-APO<sub>MIT</sub> tracers show considerably smaller differentiation in amplitude across the six stations (Figures 4c and 4d).

### 3.2. Thermal APO Signal

[28] The decomposition of the observed APO seasonal cycle begins with an estimate of the thermal contribution, APO<sub>therm</sub>, which can be subtracted from the observed cycle to estimate the net biological signal (equation (6a)). Four different estimates of APO<sub>therm</sub> at station CGO, two based on observed Ar/N<sub>2</sub>, two modeled based on the QS<sub>T</sub>/Cp formula (see Section 2.5), are compared in Figure 5, showing relatively good agreement in phase. The maxima range from late January to mid February. The spread in minima is somewhat larger, ranging from August for ATM-THERM<sub>Q</sub> to October for SIO APO<sub>therm,Ar</sub>. With respect to seasonal amplitude, the ATM-THERM<sub>Q</sub> cycle appears as an outlier that is nearly 50% larger than the mean of the other three.

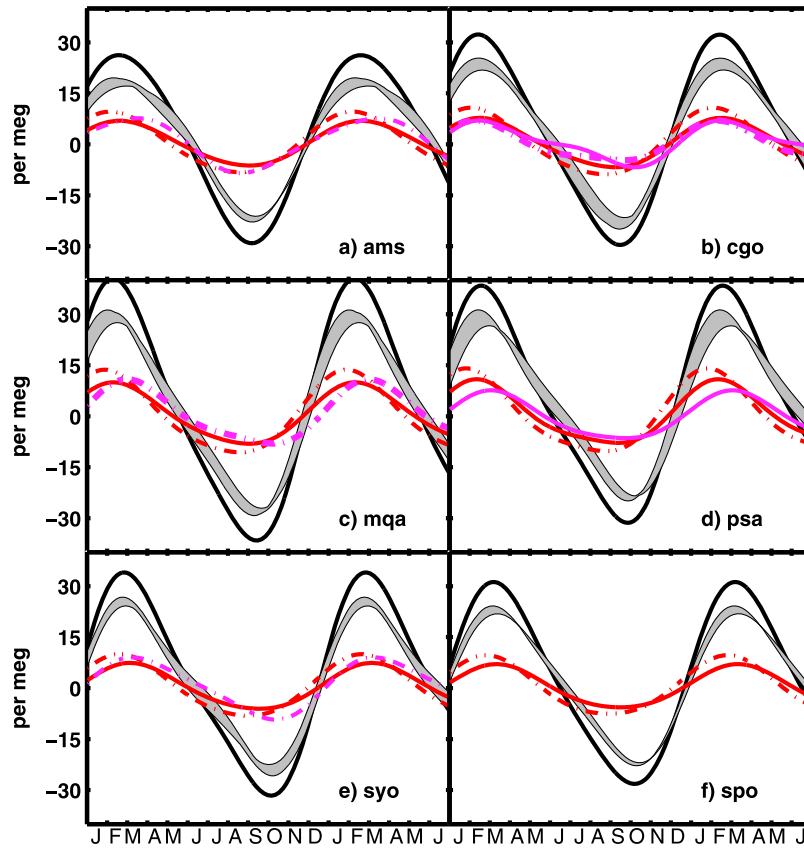
[29] As shown in Figure 5, the Jin *et al.* [2007] modifications to QS<sub>T</sub>/Cp cause the modeled thermal signal, ATM-THERM<sub>Qmod</sub>, to agree better with APO<sub>therm,Ar</sub>, both with respect to phase and amplitude. Past studies generally have used the unmodified QS<sub>T</sub>/Cp formula to estimate the thermal APO cycle [Stephens *et al.*, 1998; Keeling *et al.*, 1998; Najjar and Keeling, 2000; Garcia and Keeling, 2001]. While the Jin *et al.* approach seems physically

sound, at present it cannot be definitively tested using Ar/N<sub>2</sub> data because of large uncertainties in both the Ar/N<sub>2</sub> data [Cassar *et al.*, 2008] and the transport models needed to translate air-sea O<sub>2</sub> and N<sub>2</sub> fluxes into ATM-THERM signals [Blaine, 2005; Naegler *et al.*, 2007]. In contrast, the conversion of Ar/N<sub>2</sub> into a thermal O<sub>2</sub>/N<sub>2</sub> signal using scaling factors based on the respective Ar and O<sub>2</sub> solubility functions is a minor uncertainty (M. Manizza *et al.*, Resolving the seasonal components of air-sea gas fluxes in the global ocean: A modeling study, manuscript in preparation, 2012).

[30] Several studies have compared Ar/N<sub>2</sub> data directly to ATM simulations forced with air-sea Ar and N<sub>2</sub> fluxes. The latter have been estimated both diagnostically with the (unmodified) QS<sub>T</sub>/Cp equation, and prognostically in the context of 3-dimensional ocean models that account for phase lags and incomplete thermal equilibration [Battle *et al.*, 2003; Cassar *et al.*, 2008]. The results have varied by monitoring site and thus have defied a one-size-fits-all interpretation. At CGO, both the prognostic and diagnostic heat flux-based estimates agreed well with the observed Ar/N<sub>2</sub> seasonal cycle, but at other sites, including AMS, MQA and SYO, the observed cycles had later maxima than the modeled cycles. Modeled seasonal amplitudes were larger at some sites, smaller at others compared to observations. Large observational uncertainties have contributed to the ambiguous results of model-data Ar/N<sub>2</sub> comparisons.

[31] Figure 6 shows that subtracting APO<sub>therm</sub> from observed APO yields a net biological cycle that is smaller in amplitude but similar in phasing to the original APO signal. Depending on which thermal APO signal is subtracted, the observed APO amplitude is reduced by 20–30%, making the thermal correction a relatively small but not negligible





**Figure 6.** Estimated thermal APO cycles (colored lines) and thermally corrected APO cycles (gray windows) at six stations. Black curves show observed APO cycle. Red curves show results of MATCH simulations forced with thermal  $O_2$  and  $N_2$  fluxes estimated from  $QS_T/C_p$  (dash dot lines) or  $QS_T/C_p$  with the *Jin et al.* [2007] modifications (solid lines). Magenta curves show thermal APO cycles estimated from SIO (solid) and PU (dash dot)  $Ar/N_2$  data. The gray windows represent the range of thermally corrected APO cycles (i.e., the net biological signal  $AP_{netbio}$ ) resulting from subtracting all viable thermal APO cycles, both modeled and  $Ar/N_2$ -based, from observed APO.

source of uncertainty in our decomposition of the APO cycle. For  $N_2O$ , the uncertainty in the thermal cycle has relatively more importance, and this feeds back on our inferred  $AP_{vent}$  and  $AP_{NCP}$  signals, as discussed below.

### 3.3. Decomposition of the APO Net Biological Signal

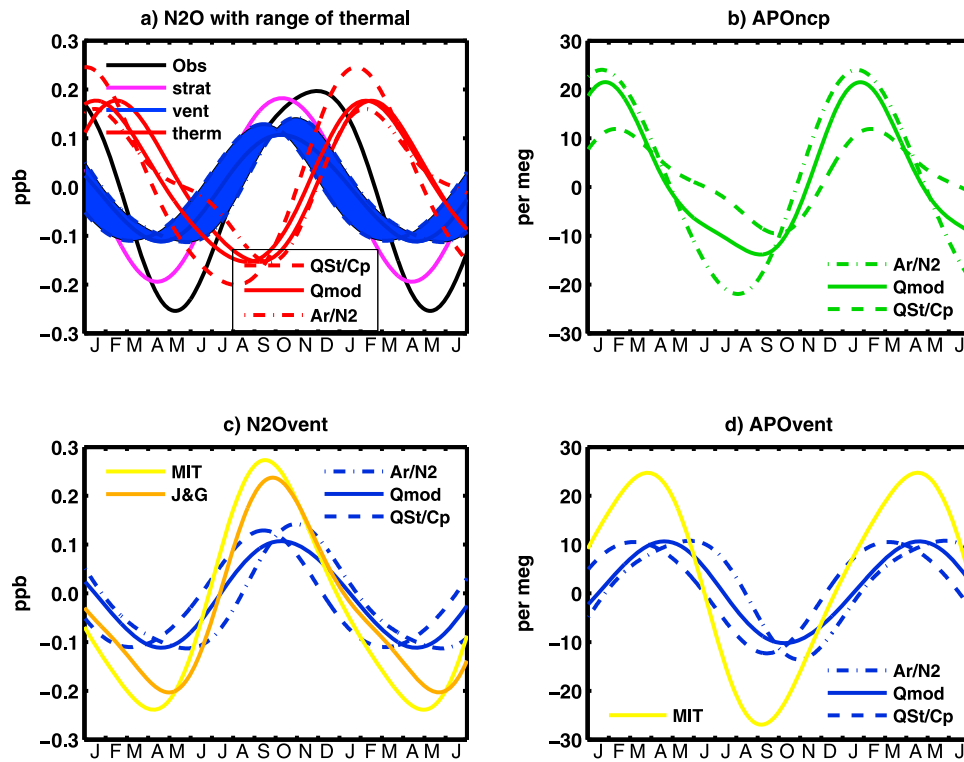
[32] Here, we decompose  $AP_{netbio}$  into deep ventilation ( $AP_{vent}$ ) and net community production ( $AP_{NCP}$ ) signals using the  $N_2O$ -based method (Section 2.7). We restrict this analysis to three sites: CGO, PSA, and SPO, where atmospheric  $N_2O$  and CFC-12 data are both available (Table S1). Among these sites, we have the most confidence in our results at CGO and SPO, where high frequency in situ  $N_2O$  time series are available and yield consistent mean seasonal cycles that are relatively independent of the range of years over which we analyze the data. In contrast, the flask  $N_2O$  measurements at PSA are more interannually variable and probably overestimate the true mean seasonal cycle [Nevison et al., 2011]. At all three sites, we compare our  $N_2O$  and APO-based atmospheric signals to independent estimates from our MATCH simulations.

#### 3.3.1. Cape Grim, Tasmania

[33] Our analysis at CGO begins with the decomposition of the atmospheric  $N_2O$  seasonal cycle (Figure 7a). We

quickly identified the thermal signal as a large source of uncertainty in the analysis and therefore present our results in terms of their sensitivity to three alternative estimates of  $N_2O_{therm}$  and  $AP_{therm}$  based on 1) SIO  $Ar/N_2$  data, 2) the  $QS_T/C_p$  formula and 3) the modified  $QS_T/C_p$  formula. The three alternatives lead to a 1.5-month spread in the maximum of the inferred  $N_2O_{vent}$  signal, which is determined largely by the phasing of the minimum in  $N_2O_{therm}$ . Thermal signal #3,  $ATM-N_2OTHERM_{Qmod}$ , gives an  $N_2O_{vent}$  signal with an early October maximum, which agrees best in phase with two independent estimates based on ocean model  $N_2O$  fluxes,  $ATM-N_2OVENT_{MIT}$  and  $ATM-N_2OVENT_{JG}$  (Figure 7c). Thermal signal #1,  $N_2O_{therm,Ar}$ , gives a late October/early November peak in  $N_2O_{vent}$ , which appears late compared to the independent estimates. The physics of deep ventilation in the Southern Ocean, beginning with the breakdown of the oceanic mixed layer in fall and ending with re-stratification of the surface ocean in spring, also tend to argue against a late October ventilation peak. Thermal signal #2,  $ATM-N_2OTHERM_Q$ , gives a mid-September  $N_2O_{vent}$  maximum, which appears early compared to the ocean model estimates.

[34] The amplitude of  $N_2O_{vent}$  inferred from atmospheric data (using the optimal thermal signal  $ATM-N_2OTHERM_{Qmod}$ )



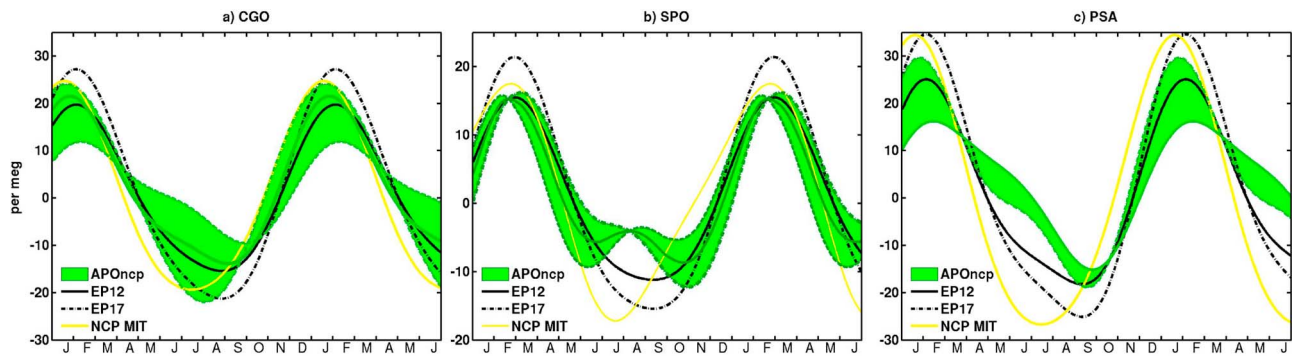
**Figure 7.** Selected components of the (left)  $\text{N}_2\text{O}$  or (right) APO mean seasonal cycles at Cape Grim, Tasmania, showing sensitivity to choice of thermal signal. (a) Shows all terms in the  $\text{N}_2\text{O}$  cycle, in which observed (black) and stratospheric (magenta) signals are represented as single lines and considered well known. (All calculations use constant CFC-12n scalar of  $\alpha = 0.7$  to estimate  $\text{N}_2\text{O}_{\text{strat.}}$ ) Red lines show range of choices for  $\text{N}_2\text{O}_{\text{therm}}$  (dash =  $\text{QS}_{\text{T}}/\text{Cp}$ , solid = modified  $\text{QS}_{\text{T}}/\text{Cp}$ , dash-dot = SIO Ar/ $\text{N}_2$ -based). Blue envelope shows resulting range of uncertainty in  $\text{N}_2\text{O}_{\text{vent}}$ , which is calculated as a residual of the other terms. (b) Range of uncertainty in inferred  $\text{APO}_{\text{NCP}}$  resulting from choice of thermal signal, (c) range of uncertainty in  $\text{N}_2\text{O}_{\text{vent}}$  resulting from choice of thermal signal, with comparisons to independent estimates from MATCH simulations: yellow =  $\text{ATM-N}_2\text{OVENT}_{\text{MIT}}$  (reduced by a factor of 2 to keep other curves legible), orange =  $\text{ATM-N}_2\text{OVENT}_{\text{JG}}$ . (d) Range of uncertainty in  $\text{APO}_{\text{vent}}$  resulting from choice of thermal signal, with comparison to independent estimate from  $\text{ATM-VENT}_{\text{MIT}}$  (yellow).

is smaller by a factor of 2 to 4 than that of  $\text{ATM-N}_2\text{OVENT}_{\text{JG}}$  and  $\text{ATM-N}_2\text{OVENT}_{\text{MIT}}$  (Figure 7c). The  $\text{N}_2\text{O}$  source predicted by the underlying ocean models is 0.9 and 1.8 Tg N/yr, respectively, from the region south of 30°S. This result differs from that of *Nevison et al.* [2005], who found that the inferred  $\text{N}_2\text{O}_{\text{vent}}$  signal was generally consistent with *Jin and Gruber's* [2003] ocean model source. The main difference from our current study is that *Nevison et al.* [2005] assumed a modeled value of  $\text{N}_2\text{O}_{\text{therm}}$  that was similar in amplitude to the  $\text{ATM-N}_2\text{OTHERM}_{\text{Q}}$  signal shown here but was shifted 1 month later, which is substantially different than any of the thermal signals tested in the current study. The new results suggest that the Southern Ocean  $\text{N}_2\text{O}$  source may be only on the order of 0.5 Tg N/yr. While smaller than the independent ocean model estimates, this new value is still large compared to recent estimates of the  $\text{N}_2\text{O}$  source from the 30–90° latitude band derived from atmospheric  $\text{N}_2\text{O}$  inversions, which in some configurations predict a near-zero or even negative source [*Hirsch et al.*, 2006; *Huang et al.*, 2008].

[35] Turning to the decomposition of the observed APO seasonal cycle,  $\text{APO}_{\text{vent}}$  can be estimated from  $\text{N}_2\text{O}_{\text{vent}}$

which allows  $\text{APO}_{\text{NCP}}$  to be estimated as a residual using equations (7)–(8) (Figures 7c and 7d). A comparison of  $\text{APO}_{\text{NCP}}$  to independent estimates based on satellite ocean color or the MIT ocean model shows that all estimates are generally consistent in shape and phase (Figure 8a). This agreement was by no means guaranteed at the outset of our study and allows the amplitudes of the independent estimates of NCP to be compared directly for the first time to the APO-based constraint. Figure 8a shows that the smaller of the two satellite-based signals,  $\text{ATM-NCP}_{\text{EP12}}$ , agrees best in amplitude with our best guess estimate of  $\text{APO}_{\text{NCP}}$ , suggesting that an NCP flux of 12 Pg C/yr (1 Pg C/yr south of 50°S) is consistent with APO data. In contrast, the amplitudes of  $\text{ATM-NCP}_{\text{EP17}}$  and  $\text{ATM-NCP}_{\text{MIT}}$  tend to push or exceed the upper limit allowed by the decomposed APO data, suggesting the underlying NCP fluxes are too large. This is true even within the relatively wide range of uncertainty in  $\text{APO}_{\text{NCP}}$ , which derives mainly from the sensitivity of  $\text{N}_2\text{O}_{\text{vent}}$ , and thus  $\text{APO}_{\text{vent}}$  and  $\text{APO}_{\text{NCP}}$ , to the choice of thermal signal.

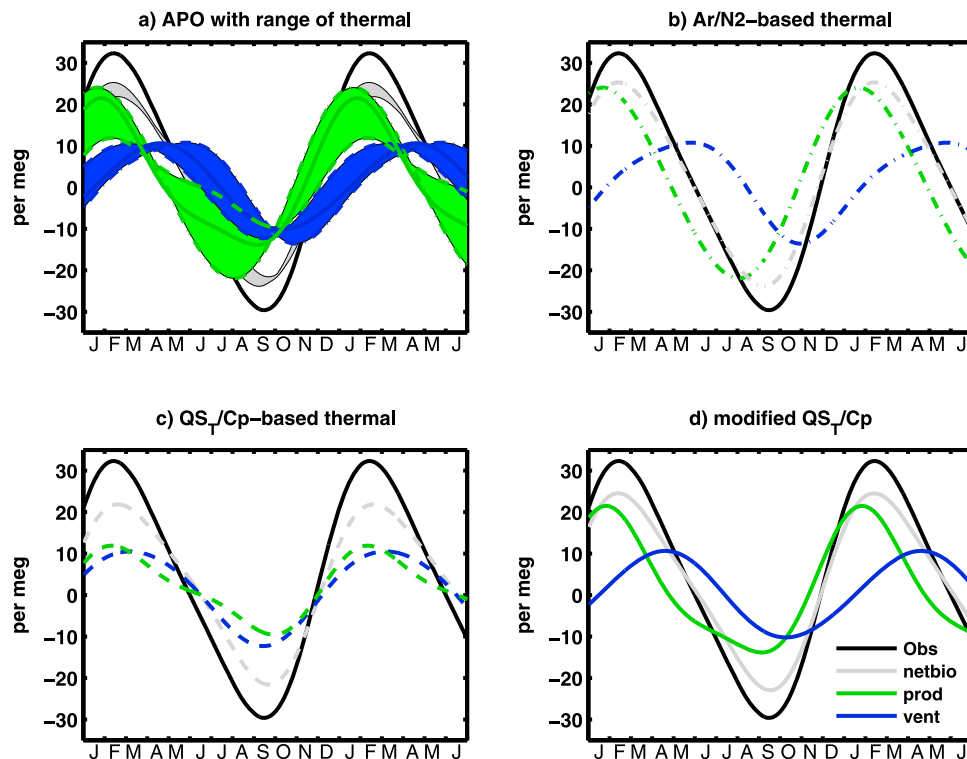
[36] Figure 9 shows the observed APO seasonal cycle partitioned into its component biological signals. Several



**Figure 8.** Comparison of  $\text{APO}_{\text{NCP}}$  derived from the  $\text{N}_2\text{O}$ -based method, shown as a green window of uncertainty (see Figures 7, S1, and S3 for details) to independent estimates based on satellite ocean color data,  $\text{ATM-NCP}_{\text{EP12}}$  (solid black) and  $\text{ATM-NCP}_{\text{EP17}}$  (dash-dot black), and an ocean biogeochemistry model,  $\text{ATM-NCP}_{\text{MIT}}$  (yellow). (a) Cape Grim, (b) South Pole, (c) Palmer Station.

alternative views are presented, reflecting the uncertainty discussed above, but the partitioned cycles share some common features. The springtime rise in the APO cycle leading to the summer maximum is dictated mainly by the NCP signal, while the late winter/early spring minimum is governed mainly by the ventilation signal. Our best guess estimate (based largely on its optimal  $\text{N}_2\text{O}_{\text{vent}}$  signal),

suggests that  $\text{APO}_{\text{NCP}}$  and  $\text{APO}_{\text{vent}}$  do not directly overlap (i.e., are not 6 months out of phase, with opposite sign), as was assumed, e.g., by Keeling *et al.* [1993], but rather are staggered and only partly reinforcing. With only partly reinforcing signals, larger component NCP and ventilation fluxes are needed, compared to the fully reinforcing case, to achieve the same overall APO amplitude.



**Figure 9.** Decomposition of the mean seasonal cycle in APO at Cape Grim, Tasmania, showing sensitivity to choice of thermal signal (see Figure 7 for details of corresponding  $\text{N}_2\text{O}$  cycle). Black = observed, gray = net biological signal (i.e., observed minus thermal correction), green = NCP, blue = ventilation. (a) APO cycle considering 3 different choices of thermal signal (for both APO and  $\text{N}_2\text{O}$ ), with envelopes showing ranges of uncertainty. Figures 9b–9d show three alternative representations of the decomposed APO seasonal cycle using the following thermal signals: (b)  $\text{Ar}/\text{N}_2$ -based, (c)  $\text{QS}_T/\text{Cp}$ -based, (d)  $\text{QS}_T/\text{Cp}$ -based signal modified according to Jin *et al.* [2007].

### 3.3.2. South Pole and Palmer Station

[37] We performed similar decompositions of the APO seasonal cycle using the  $N_2O$ -based method at two additional sites, SPO and PSA. The decomposition at SPO focuses on the sensitivity of our results to uncertainty in the  $N_2O_{\text{strat}}$  term, while the decomposition at PSA, like that at CGO, focuses on the sensitivity to the thermal signal. Details are presented in Text S2. Figure 8 summarizes the results of Section 3.3 by showing that  $APON_{\text{NCP}}$  is generally consistent at all three sites with the smaller of the two satellite ocean color-based estimates,  $ATM\text{-}NCP_{\text{EP12}}$ , corresponding to an NCP flux of 1 Pg C/yr south of 50°S, and inconsistent with the larger estimate  $ATM\text{-}NCP_{\text{EP17}}$ .

[38] If we assume that the difference in seasonal amplitude between  $ATM\text{-}NCP_{\text{EP12}}$  and  $ATM\text{-}NCP_{\text{EP17}}$  (corresponding to 1.05 and 1.4 Pg C/yr south of 50°S) scales linearly and can be applied to the range in  $APON_{\text{NCP}}$  shown in Figure 8, we obtain quantitative bounds on NCP of 0.69–1.3, 0.80–1.2 and 0.96–1.1 Pg C/yr using APO data at CGO, PSA and SPO, respectively. PSA and SPO are influenced primarily by processes occurring south of 50°S, whereas CGO is also influenced by more equatorward latitudes [Garcia and Keeling, 2001]. The relatively tight constraint at SPO in part reflects the fact that our analysis focuses on the sensitivity of  $N_2O_{\text{vent}}$  and thus  $APON_{\text{vent}}$  to stratospheric influences, but does not take into account the larger sensitivity to thermal influences (due to the unavailability of  $Ar/N_2$  data at SPO). We therefore take the larger of the PSA and SPO results, 0.8–1.2 Pg C/yr, as our best quantitative estimate of the constraint on NCP south of 50°S provided by APO. Notably this estimate does not account for additional atmospheric transport model uncertainties associated with the calculation of  $ATM\text{-}NCP_{\text{EP12}}$  and  $ATM\text{-}NCP_{\text{EP17}}$ .

## 4. Discussion

[39] Both satellite ocean color data and observed seasonal cycles in APO offer a means to estimate net community production and the corresponding air-sea flux of  $O_2$  during the phytoplankton growing season. APO data, which are measured at high precision, are used here to constrain the satellite-based estimates, which provide detailed spatial and temporal coverage but are uncertain in magnitude, especially for higher order products like NPP and export production. However, a caveat on our study is that APO is measured in units of per meg and thus provides a measure of the pulse of  $O_2$  diluted into a given volume of air, rather than an absolute measure of the pulse of  $O_2$ . Atmospheric transport models (ATMs) are the best available tool for estimating the atmospheric dilution of a surface  $O_2$  pulse, but such models unavoidably introduce uncertainty in the comparison of surface air-sea fluxes to atmospheric data [Naegler et al., 2007]. This problem is especially pronounced at sites such as Palmer Station, Antarctica, featured in this study, or Northern Hemisphere sites like Cold Bay, Alaska [Gruber et al., 2001; Battle et al., 2006], where the air-sea  $O_2$  flux is concentrated over a relatively short span of months and interacts with seasonal covariance in boundary layer thickness and other transport and mixing properties. In summer, the marine boundary layer is relatively shallow and tends to trap  $O_2$  fluxes associated with NCP near the surface, but the extent of this trapping is ATM sensitive. In winter, the

boundary layer is thicker due to warming of the atmosphere by convective heating from the ocean, such that the oceanic ventilation signal is diluted into a larger atmospheric volume, but the extent of dilution is also ATM sensitive [Gruber et al., 2001; Blaine, 2005; Nevison et al., 2008].

[40] Here, we have reported results using a single ATM, MATCH:NCEP, to translate two satellite-based NCP estimates into APO signals. By convolving these results with APO observations at two Southern Ocean monitoring sites, we estimate an NCP flux of 0.8–1.2 Pg C/yr south of 50°S. Our estimate is consistent with the independent estimate of new production south of 50°S of  $1.1 \pm 0.2$  Pg C/yr based on wind-driven upwelling rates and observed nitrate at depth [Pollard et al., 2006]. However, we must acknowledge that the APO Transcom experiment [Blaine, 2005] suggested that MATCH:NCEP yields relatively large seasonal amplitudes compared to some other ATMs when forced with the same surface  $O_2$  fluxes. Thus, for some ATMs, it is possible that the larger satellite-based estimate,  $ATM\text{-}NCP_{\text{EP17}}$ , would be more compatible with the APO-based constraint and thus that a larger NCP flux south of 50°S would be indicated. To tighten the constraint that APO seasonal cycles can provide on satellite ocean color data, ATM uncertainty must be reduced.

[41] On a related note,  $Ar/N_2$  data in principle provide the best basis for estimating the  $N_2O_{\text{therm}}$  and  $APON_{\text{therm}}$  signals used in this study because  $Ar/N_2$  is a direct atmospheric measurement that avoids the need for the mediation of an ATM. It is therefore perplexing that the use of  $N_2O_{\text{therm,Ar}}$  at both CGO and PSA leads to an  $N_2O_{\text{vent}}$  signal whose maximum appears to occur unreasonably late in the spring (see Section 3.3.1, Text S2). This puzzling result may reflect uncertainties in  $Ar/N_2$  data, as discussed in Section 3.2, but it also raises questions about a possible missing signal in our decomposition of the observed  $N_2O$  seasonal cycle. Text S3 investigates the possibility of a missing terrestrial  $N_2O$  signal and concludes that such a signal cannot be ruled out, but will tend to shift the inferred  $N_2O_{\text{vent}}$  maximum even later in the spring, thus exacerbating the problem. Alternatively, it is possible that there is a component of the  $N_2O$  flux associated with nitrification at the base of the euphotic zone that correlates more strongly to  $O_2$  production than to deep ventilation [Charpentier et al., 2007; Yool et al., 2007]. In addition, stations located near Antarctica may be influenced by a pulse of upwelled  $N_2O$  that has accumulated beneath winter sea ice and is abruptly released to the atmosphere during spring/summer ice retreat [Rees et al., 1997].

[42] We expect that aircraft campaigns to measure altitude profiles of important trace gases over large spatial domains will help reduce many of the uncertainties discussed above. The HIPPO campaign in particular will provide an extensive, seasonally resolved data set of APO and other trace gases like  $N_2O$ , CFC-12 and  $Ar/N_2$ , which will help quantify the extent to which surface emissions are trapped in the boundary layer, thereby providing more rigorous constraints on ATMs than are currently available [Stephens et al., 2007; Wofsy et al., 2011]. HIPPO will also permit comparisons of concurrent atmospheric  $N_2O$  and APO variability over a full seasonal cycle in the Southern Ocean region and will provide improved constraints on stratospheric contributions to tropospheric variability.

[43] Even after ATM and other uncertainties pertaining to the APO versus  $N_2O$  relationship are narrowed, an important remaining uncertainty is the conversion of satellite ocean color data into  $F_{O_2}$ , the air-sea  $O_2$  flux. From a conceptual standpoint, this conversion is problematic because it attempts to relate satellite-derived export production at 100 m to a surface flux. We have addressed this problem by arguing that 1) satellite ocean color data are fundamentally a near-surface measurement, 2) the phasing and magnitude of EP, as derived from ocean color, are similar to those of NCP, and 3) NCP is logically linked to  $F_{O_2}$ . These arguments are consistent with the assumptions made in deriving the model by Laws *et al.* [2000] and Laws [2004] for estimating the  $f$  ratio, i.e., that EP balances new production. The good agreement between  $APO_{NCP}$  and  $ATM-NCP_{EP12}$  derived here supports these assumptions, as does the agreement in shape and phase between  $APO_{NCP}$  and  $ATM-NCP_{MIT}$  (Figure 8). One also can perform an independent, internal check using the MIT ocean model, based on the comparison of  $F_{O_2}$  associated with NCP, converted to carbon units, and the model EP, calculated by multiplying the POC concentration at the base of the mixed layer by the prescribed (constant) sinking velocity. These two fluxes are roughly in balance at 16.7 and 15.7 Pg C/yr, respectively, providing support for the simple assumptions of our study. There are, however, some regional and phase imbalances between MIT model NCP and EP that tend to undermine the equivalence. In addition, the version of the MIT model used here has relatively coarse vertical resolution, with positive NCP more or less confined to the surface layer, which may affect the relationships among  $F_{O_2}$ , NCP and EP. Results from other 3-dimensional ocean biogeochemistry models, with higher vertical resolution and  $O_2$  tracers decomposed as outlined in Section 2.4, would be valuable for evaluating the robustness of the relationships between EP, NCP and  $F_{O_2}$  presented here.

## 5. Conclusions

[44] Three independent approaches, based on atmospheric APO and  $N_2O$  observations, satellite ocean color data, and a 3-dimensional ocean biogeochemistry model, provide a consistent picture of the partitioning of biological air-sea  $O_2$  fluxes in the Southern Ocean and their corresponding atmospheric signals. Among the most important results of our study are: 1) Chl-a and associated NPP fluxes derived from satellite ocean color data historically have been underestimated in the Southern Ocean. Traditional models [Laws *et al.*, 2000; Laws, 2004] using upward-revised NPP inputs tend to overestimate  $f$  ratios and thus EP and NCP, according to APO-based constraints. Our study suggests that NCP south of 50°S is likely about 1 Pg C yr<sup>-1</sup>, with an average  $f$  ratio of 0.33. 2)  $O_2$  fluxes associated with NCP occur primarily in spring/summer in the mid to high latitude Southern Ocean, while  $O_2$  fluxes associated with deep ventilation occur primarily in winter. Although the underlying air-sea fluxes are seasonally distinct, their corresponding atmospheric signals overlap and are affected further by covariance with atmospheric transport and boundary layer thickness. 3) The seasonal  $N_2O$  flux from the Southern Ocean (30–90°S) is estimated here at ~0.5 Tg N/yr. This flux is smaller than previously reported estimates based on

ocean models and dissolved  $N_2O$  data, but larger than estimates from recent atmospheric  $N_2O$  inversions.

[45] Our study identifies some important future steps for reducing current uncertainties and thereby improving the quantitative constraints that APO data can provide on carbon and oxygen fluxes derived from satellite ocean color data. Foremost among these is the need for better constraints on atmospheric transport models, which are necessary for translating satellite ocean color data into atmospheric APO signals. We expect substantial progress in this arena thanks to recent aircraft campaigns to measure altitude profiles of APO,  $N_2O$ , and other important trace gases and their seasonal and spatial variability. In addition to refinements of ATMs, efforts are needed to better identify the relationships among  $F_{O_2}$ , NCP and EP, using e.g., high-resolution 3-D ocean biogeochemistry models. Finally, the thermal signals used to correct observed seasonal cycles in atmospheric data to infer biological signals are a source of uncertainty that is larger than previously appreciated, especially for relatively soluble gases like  $N_2O$ . Further work is needed to reconcile the thermal signals derived from Ar/ $N_2$  data with signals derived from ocean models and ocean heat flux data.

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